UCSB Toxic Substance Control Act (TSCA)
Import Certification Form Instructions

The Principal Investigator, Lab Manager or Researcher must fill out the TSCA Import Certification Form which will accompany the purchase order that lists the chemical names. This form must be available to the carrier and customs officer at the time of import. This can be satisfied by having the sender include a copy of this form with the import documents for the shipment. A copy of the form must be kept on file for three (3) years.

If the chemicals are being used for research at the University then it will be a positive certification. UCSB qualifies for a “Research and Development” exemption.

1. Under the positive certification (check the box).
2. Under the statement (if the statement exists on the form), “WHAT IS THE PRODUCTS COMPLETE, EXACT INTENDED USE?” Write: “FOR RESEARCH PURPOSES ONLY”
3. Under the statement (if the statement exists on the form), “IF RESEARCH OR LABORATORY USE, WHAT KIND?” Write a simple description of type of research at University of California.

If the chemicals are any of the items below and regulated by the following agencies you will check the negative certification:
- Tobacco and tobacco products regulated by the Bureau of Alcohol, Tobacco and Firearms (ATF)
- Food, food additives, drugs, and cosmetics regulated by the Food and Drug Administration (FDA)
- Radioactive materials regulated by the Nuclear Regulatory Commission (NRC)
- Pesticides regulated by the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA)

Provide your name, signature, date, title address and phone number in the applicable boxes at the bottom of the form.

You as the importer are responsible for this certification form. Prior to the shipment, you will fill out this form and send a copy to the shipper to include with the import documentation.

Some suppliers or transporters have their own Import Certification Form they prefer to use. Make sure you have a copy of their form for your records. The next page has an example of a TSCA Certification Form.
Toxic Substance Control Act - Import Certification Form

Chemical substances imported into the United States must be accompanied by a certification form indicating that the shipment is in compliance with the EPA’s Toxic Substance Control Act. The following chemical substances are being imported and sent to a laboratory researcher at the University of California, Santa Barbara. This shipment contains the following chemical substances:

<table>
<thead>
<tr>
<th>Chemical Name</th>
<th>Chemical Abstracts Services (CAS) Registry Number (if available)</th>
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The following certification can be made regarding this shipment (select one of the following two certifications).

- **POSITIVE CERTIFICATION**: I certify that all chemical substances in this shipment comply with all applicable rules or orders under TSCA and that I am not offering a chemical substance for entry in violation of TSCA or any applicable rule or order under TSCA.

  (Or)

- **NEGATIVE CERTIFICATION**: I certify that all chemicals in this shipment are not subject to TSCA.

(And, if applicable)

- The list of chemical substances will be used for research purposes only.

  If Research and Development use, what kind? ____________________________________________

Please do not hesitate to contact me if you have questions concerning this import certification or require additional information concerning it. Thank you for your attention in this matter.

Name: ___________________________________________ Date: ____________

Signature

Name: ___________________________________________ Print

Address: University of California, Santa Barbara

Title: __________________________ Dept: __________________________

Phone: __________________________ Santa Barbara, CA 93106

USA